



ADVANCE 

PRICING SCHEDULE

UK

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Platform charge

Annual charge

Collected monthly

Applicable to all Custody Assets
(including Cash)¹

0-£750,000 @ 0.25%
£750,000+ @ 0.10%

Fees are deducted pro rata across
all tax wrappers

¹ A platform charge of 0.25% on the first £750,000 and 0.10% on any additional amount over £750,000 per year is applicable to the aggregate value of all holdings and cash. The platform charge is collected each month.

Product-specific supplements would be additional to the annual platform charge where investments are held in an Offshore Bond wrapper, as per the tariffs outlined in the Products fees section.

Dealing and settlement charges

Settlement fee for individual trades

Settlement fee for bulk or regular investment

Trading fee²

| | | | |
|-----------------------------|-------|-------|---------|
| Funds ³ | Nil | Nil | Nil |
| ETFs | £2.00 | £1.00 | 0.0125% |
| Investment Trusts | £2.00 | £1.00 | 0.0125% |
| Equities | £2.00 | £1.00 | 0.0125% |
| Bonds and Preference shares | £2.00 | £1.00 | 0.0125% |
| Foreign Currency | Nil | Nil | 0.0200% |

² Trading fees only apply when the deal cannot be dealt electronically using the RSP gateway; a fee of £15 will be charged for deals that have to be executed by telephone.

³ Funds are defined as UK domestic funds listed on our buy list. Any European funds or structured products may have additional platform charges and trading fees applicable.

Government & stock exchange fees (on purchases only)

| | |
|--|--------|
| UK Stamp Duty | 0.5% |
| Irish Stamp Duty | 1.00% |
| PTM Levy (applicable on UK trades over £10,000) | £1.00 |
| ITP Levy (applicable on Irish exchange deals over €12,500) | € 1.25 |



Products

Annual fee

| | |
|--|-------|
| General Investment Account | Nil |
| Stocks and Shares ISA, JISA and Flexible ISA | Nil |
| SIPP ⁴ | Nil |
| Hubwise Offshore Bond (Minimum) | £250 |
| £0 - £499,999.99 | 0.20% |
| £500,000 - £999,999.99 | 0.10% |
| Over £1m | 0.05% |

⁴ Fees for holding Property in a SIPP and other non-standard services are available upon request.

Administration

Process fee

| | |
|--|--|
| Request for duplicate document | £10 |
| Ad hoc documentation (probate/other) | £50 |
| Non-electronic correspondence ⁵ | £20 |
| UK Transfer in per line of stock | Nil |
| UK Transfer out per line of stock | £10 |
| Non-UK Transfers out | Vary according to custodian - available upon request |
| Reports, Notices, Meetings & Notifications | £20 |
| ISA Plan transfer in | Nil |
| ISA Plan transfer out ⁶ | Nil |
| ISA Plan Account closure | Nil |

⁵ Paper copies of four quarterly Valuations and an annual Costs and Charges statement will be provided for an annual fee of £20.

⁶ UK Transfer out fees also apply to ISAs if not transferring out in cash.



Banking

Process fee

| | |
|-----------------------------------|---|
| BACS | Nil |
| UK CHAPS | £30 |
| Non-UK CHAPS | Vary according to currency – available on request |
| Cheque | Nil |
| Cancelled cheque fee per occasion | £25 |
| Unpaid direct debits | £25 |
| Unauthorised overdraft | 5% above Bank of England base rate |
| Internal transfers | Nil |

Other information

Interest is paid on cash at a rate (unless individual long-term deposits) which equates to 100% of the interest received from the Banks where cash is deposited. As Banks pay varying rates of interest, we pool the income received and pay a blended rate to our Clients.

INTERNATIONAL

Additional non-domestic platform services



| Euro zone and mature markets | | Annual platform charge |
|--------------------------------------|--|------------------------|
| Mature Markets, Area 1 | | 0.0125% |
| Mature Markets, Area 2 | | 0.0250% |
| Emerging markets | | Annual platform charge |
| Emerging Markets, Area 1 | | 0.075% |
| Emerging Markets, Area 2 | | 0.250% |
| Emerging Markets, Area 3 | | 1.000% |
| Additional non-domestic trading fees | | Trading fee |
| Mature Markets, Area 1 | | £9 |
| Mature Markets, Area 2 | | £15 |
| Emerging Markets, Area 1 | | £25 |
| Emerging Markets, Area 2 | | £40 |
| Emerging Markets, Area 3 | | £100 |

Non-domestic zones

Mature Markets, Area 1

Belgium, France, Germany, Ireland, Italy, Netherlands, USA.

Mature Markets, Area 2

Australia, Austria, Canada, Denmark, Finland, Hong Kong, Japan, Norway, South Africa, Sweden, Switzerland.

Emerging Markets, Area 1

Brazil, Estonia, Greece, Hungary, Malaysia, Mexico, Poland, Portugal, Singapore, South Korea, Taiwan, Thailand, Turkey

Emerging Markets, Area 2

Argentina, China, Croatia, Cyprus, Czech Republic, Egypt, India, Indonesia, Israel, Lithuania, Luxembourg, Morocco, New Zealand, Philippines, Romania, Russia, Serbia, Slovakia, Slovenia, Uae.

Emerging Markets, Area 3

Bahrain, Bangladesh, Benin, Botswana, Bulgaria, Burkina Faso, Chile, Columbia, Ghana, Guinea Bissau, Ivory Coast, Jordan, Kenya, Kuwait, Latvia, Mali, Mauritius, Niger, Nigeria, Oman, Peru, Qatar, Saudi Arabia, Senegal, Togo, Tunisia, Ukraine, Vietnam.